

FORM PTO-1449 (Modified)

APR 26 2002

ATTY. DOCKET NO.

SERIAL NO.: 09/683,902

GB920000092US1

**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

APPLICANT:

G. C. Charters et al.

FILING DATE:

February 28, 2002

GROUP: 2122

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>(IF APPRO.) |
|----------------------|--------------------|------|------|-------|----------|----------------------------|
| AA                   |                    |      |      |       |          |                            |
| AB                   |                    |      |      |       |          |                            |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|----|----|--------------------|------------|---------|-------|----------|-------------|----|
|    |    |                    |            |         |       |          | YES         | NO |
| JM | AC | EP0774878 A2       | 05/21/1997 | Europe  | H04Q  | 11/04    |             |    |
|    | AD |                    |            |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|    |    |   |
|----|----|---|
| JM | AE | IEEE 2000, MILCOM 2000, 21st Century Military Communications Conference Proceedings, "A New Approach For Providing Quality-Of-Service In A Dynamic Network Environment", M. Mirhakkak, et al., Vol. 2, pp.1020-1025, The MITRE Corporation, Network And Communications Engineering Dept., Reston, VA, 2000. |
|    | AG | Information Networking, 1998 (ICOIN-12), "QoS-Based Flexibility In Distributed Systems", T. Kanezuka and M. Takizawa, pp. 104-109, Dept. Of Computers And Systems Engineering, Tokyo Denki University.  |
|    | AH | IEEE 1996, Multimedia Database Management Systems, 1996, Proceedings Of International Workshop, "Fault Avoidance And Recovery For Distributed Multimedia Multicast", Ya Xu, et al., pp. 168-174.  |
|    | AI | INFOCOM '94, Networking For Global Communications, 13th Proceedings, IEEE, June 12-16, 1994, "Dynamic Connection Management For Call-Level QOS Guarantee In Integrated Communication Networks", W.C. Lee and M.G. Hluchyj, Vol. 3, pp.1073-1082, Motorola Codex, Mansfield, MA.                             |

EXAMINER

DATE CONSIDERED

62/75/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) JUN 14 2002

LIST OF PATENTS AND PUBLICATIONS  
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DISCLOSURE STATEMENT

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GB920000092US1

SERIAL NO.: 09/683,902

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APPLICANT:

G. C. Charters et al.

JUN 19 2002

Technology Center 2100

FILING DATE:

February 28, 2002

GROUP: 2122

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>(IF APPRO.) |
|----------------------|----|--------------------|------|------|-------|----------|----------------------------|
|                      | AA |                    |      |      |       |          |                            |
|                      | AB |                    |      |      |       |          |                            |

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|--|----|--------------------|------|---------|-------|----------|-------------|----|
|  |    |                    |      |         |       |          | YES         | NO |
|  | AC |                    |      |         |       |          |             |    |
|  | AD |                    |      |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|    |    |   |
|----|----|---|
| JG | AE | Jim Gray, Digital Equipment Corp., Andreas Reuter, University of Stuttgart, "Transaction Processing: Concepts and Techniques", pp. 6-7, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1994. |
|    | AG | Ahmed K. Elmagarmid, "Database Transaction Models For Advanced Applications", pp. 35-44, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1995.  |
|    | AH | Sushil Jajodia and Larry Kerschberg, George Mason University, Fairfax, VA, "Advanced Transaction Models And Architectures", pp. 28-34, Kluwer Academic Publishers, Norwell, MA, 1997.         |
|    | AI |   |

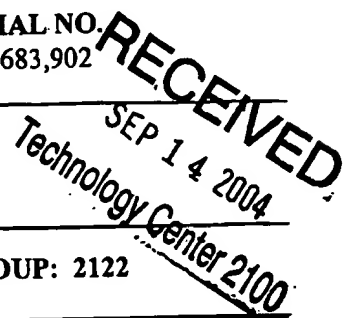
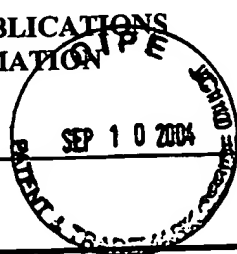
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02/25/02

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| FORM PTO-1449 (Modified)<br><br>LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT | ATTY. DOCKET NO.<br>GB920000092US1 | SERIAL NO.<br>09/683,902 |
|   | APPLICANT:<br>Danny Geist, et al.  |                          |
|   | FILING DATE:<br>February 28, 2002  | GROUP: 2122              |



REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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|                      | AB |                    |      |      |       |          |                            |
|                      | AC |                    |      |      |       |          |                            |

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|----|----|--------------------|------------|---------|-------|----------|-------------|----|
|    |    |                    |            |         |       |          | YES         | NO |
| JM | AD | EP 1158740 A1      | 11/28/2001 | EP      |       |          |             |    |
|    | AE |                    |            |         |       |          |             |    |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|    |    |  |
|----|----|--|
| JM | AF | T.P. Barzilai, "Design and implementation of an RSVP-based quality of service architecture for an integrated services internet", <i>IEEE Journal on Selected Areas in Communications</i> , 1998, see e.g., Para 3. |
|    | AG |  |
|    | AH |  |
|    | AI |  |
|    | AJ |  |

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